

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

T. KOGANEZAWA et al

Serial No. 10/602,686

Filed: June 25, 2003

For: PRODUCTION PROCESS OF GAS TURBINE

UNDER § 1.97 AND § 1.98

Commissioner for Patents Mail Stop DD Alexandria, VA 22313-1450

Sir:

1. This IDS should be considered:

- (a) when filed within three months of the filing date of the present application, or within three months of the filing date of the National Stage as set forth in § 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever occurs last;
- (b) when filed before the mailing date of either a Final Rejection under § 1.113 or a Notice of Allowance under § 1.311, whichever occurs first and when 1(a) does not apply. For this purpose, there is included herein either a certification in section 4 below (included when indicated by a marked box), or a fee of \$180.00 (a Credit Card Payment Form in the amount of \$180.00 is enclosed, or if not see section 5 below);
- (c) when filed prior to the payment of the Issue Fee, when 1(a)-(b) do not apply, and when a certification is included in section 4 below (included when indicated by a marked box); then the Applicant(s) hereby petition(s) and request(s) consideration of this IDS, and provided herewith is a fee of \$180.00 (a Credit Card Payment Form in the amount of

\$180.00 to cover the petition fee, or if not see section 5 below).

- 2. When 1(a)-(c) do not apply, then it is requested that this IDS be placed in the file.
- 3. Listing of the information submitted is on the attached Form PTO-1449, which forms a part of this IDS. A copy of each listed document is enclosed when needed (needed for U.S. and foreign patents, publication or portion thereof listed; no copy of a U.S. patent application is needed; a copy of a document is not needed when previously submitted or previously cited by the PTO in a parent application of the present application as set forth under 35 U.S.C. § 120).
- 4. If a fee or additional fee is required, the Commissioner is hereby authorized to charge any fee or additional fee that may be required and credit any excess to Deposit Account No. 50-1417.
- 5. No explanation of relevancy is being provided for the documents listed in the attached PTO-1449 Form, because each is in the English language and/or discussed in the present Specification.
- 6. If the PTO determines that part(s) of the required content is inadvertently omitted, then it is requested that the Applicant(s) be given additional time and specific identification of such omission(s) to enable full compliance.

Respectfully submitted,

Gene W. Stockman

Registration No. 21,021

Ilue W Stockman

Attorney for Applicants

MATTINGLY, STANGER & MALUR 1800 Diagonal Road, Suite 370 Alexandria, Virginia 22314 (703) 684-1120

Date: September 3, 2003

FORM PTO-1449 U.S. DEPARTMENT OF COMMERC (REV. 7-80) PATENT AND TRADEMARK OFFICE					E ATTY. DOCKET NO. KAS-182 APPLICANT	SERIA 10/6	L NO. 02,686			
GIFEL	TZ	OF DOCUMEN	ITS CITED BY	APPLICANT	T. KOGANEZAWA e	t al				
OTP ELIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)					FILING DATE GROUP					
					June 25, 2003					
SEP 0 3 200	3			U.S. PATENT	T DOCUMENTS					
* EXAMINER INITIAL	569	DOCUMENT	DATE		NAME	CLASS	SUBCLASS		G DATE propriate	
	AA									
	AB		-							
<u> </u>	AC									
	AD									
	AE									
	AF						-			
	AG						ļ			
	AH	·								
	AI			_	****					
	AJ AK									
	An		<u> </u>							
			T	FOREIGN PATE	NT DOCUMENTS					
	-	DOCUMENT	DATE		COUNTRY	CLASS	SUBCLASS	TRANS YES	NO	
	7-	11-229894	08/24/99	Japan	- Al					
		WO98/ 48159	10/29/98	PCT						
	AN									
	AO									
	AP									
					thor, Title, Date, Pertinen					
	AR	System	"Gas Turbine Cycle, Combined Cycle, Reheat Gas Turbine, Cogeneration, STIG System, Repowering, HAT Cycle, CO Recovery Turbine, WE-NET Project, CAES System", Journal of Gas Turbine Society of Japan, Vol. 25, No. 97, 1997.							
		"Upgrad	ding of a	Small Size Gas	Turbine to Hat Cy Desideri and Fra	vole Onerat	ion Therm	odvma	mia	
	AS -									
	AT -									
XAMINER										
VAIVIIIVER				D/	ATE CONSIDERED					